|                  | - :-               |   |   |   |  |  |  |  |  |
|------------------|--------------------|---|---|---|--|--|--|--|--|
| FORM<br>(REV 1   | ¥O-139<br>1-98)    | 90 (Modified) U.S. DEPAR  | OF COMMERCE PATENT AND TRADEMARK OFFICE           | ATTO. S DOCKET NUMBER                                 |  |  |  |  |  |
|                  | TRANSMITTAL LETTER |   | TO THE UNITED STATES                              | L9289.01121   |  |  |  |  |  |
|                  |                    | DESIGNATED/ELECT  | TED QFFICE (DO/EO/US)                             | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR            |  |  |  |  |  |
|                  |                    | CONCERNING A FILE   | NG UNDER 35 U.S.C. 371                            | New Application 87289                                 |  |  |  |  |  |
| INTE             |                    | TIONAL APPLICATION NO. PCT/JP00/04568   | INTERNATIONAL FILING DATE  July 10, 2000          | PRIORITY DATE CLAIMED  July 28, 1999                  |  |  |  |  |  |
|                  |                    | NVENTION  |   |   |  |  |  |  |  |
| COI              | DING               | APPARATUS AND CODI  | NG METHOD OF TIME-VARYING IN                      | MAGE SIGNAL   |  |  |  |  |  |
|                  |                    |   |   |   |  |  |  |  |  |
|                  |                    | T(S) FOR DO/EO/US   | <del>10</del>                                     |   |  |  |  |  |  |
| Koji             | IMU                | JRA   |   |   |  |  |  |  |  |
|                  |                    |   |   |   |  |  |  |  |  |
| Appl             | icant l            | herewith submits to the United S  | tates Designated/Elected Office (DO/EO/US) the    | he following items and other information:             |  |  |  |  |  |
| 1.               | ×                  | This is a FIRST submission of   | items concerning a filing under 35 U.S.C. 371     |   |  |  |  |  |  |
| 2.               |                    | This is a <b>SECOND</b> or <b>SUBSE</b>   | QUENT submission of items concerning a filing     | ng under 35 U.S.C. 371.                               |  |  |  |  |  |
| 3.               | ×                  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). |   |   |  |  |  |  |  |
| 4.               |                    |   | •   | e 19th month from the earliest claimed priority date. |  |  |  |  |  |
| 5.               | $\boxtimes$        | A copy of the International Application as filed (35 U.S.C. 371 (c) (2))  |   |   |  |  |  |  |  |
| 1                |                    | a.  is transmitted herewit  | h (required only if not transmitted by the Inter  | national Bureau).                                     |  |  |  |  |  |
|                  |                    | b. 🛮 has been transmitted   | by the International Bureau.                      | ·   |  |  |  |  |  |
|                  |                    | c. $\square$ is not required, as the  | application was filed in the United States Rece   | eiving Office (RO/US).                                |  |  |  |  |  |
| 6.               | $\boxtimes$        | A translation of the Internation  | al Application into English (35 U.S.C. 371(c)(2   | 2)).  |  |  |  |  |  |
| . 7.             | ×                  | A copy of the International Sea   | arch Report (PCT/ISA/210).                        |   |  |  |  |  |  |
| 8.               |                    | Amendments to the claims of t   | he International Application under PCT Article    | e 19 (35 U.S.C. 371 (c)(3))                           |  |  |  |  |  |
| ļ                |                    | a.   are transmitted herew  | ith (required only if not transmitted by the Inte | rnational Bureau).                                    |  |  |  |  |  |
| g <sub>ill</sub> |                    | b. $\square$ have been transmitted  | by the International Bureau.                      |   |  |  |  |  |  |
| ti manifu        |                    | c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.  |   |   |  |  |  |  |  |
| i <u>t</u>       |                    | d.   have not been made a   | nd will not be made.                              | ,   |  |  |  |  |  |
| ₹ 9.             |                    |   | ts to the claims under PCT Article 19 (35 U.S.    | C. 371(c)(3)).  |  |  |  |  |  |
| 10.              | ×                  | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |   |   |  |  |  |  |  |
| 41.              |                    | A copy of the International Preliminary Examination Report (PCT/IPEA/409).  |   |   |  |  |  |  |  |
| 12.              |                    | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).   |   |   |  |  |  |  |  |
| It               | ems 1              | 13 to 20 below concern docume   | nt(s) or information included:                    |   |  |  |  |  |  |
| 13.              | $\boxtimes$        | An Information Disclosure Sta   | tement under 37 CFR 1.97 and 1.98.                |   |  |  |  |  |  |
| 14.              | ×                  | An assignment document for re   | ecording. A separate cover sheet in compliance    | e with 37 CFR 3.28 and 3.31 is included.              |  |  |  |  |  |
| 15.              |                    | A FIRST preliminary amendm  | ent.  |   |  |  |  |  |  |
| 16.              |                    | A SECOND or SUBSEQUEN   | T preliminary amendment.                          |   |  |  |  |  |  |
| 17.              |                    | A substitute specification.   |   |   |  |  |  |  |  |
| 18.              |                    | A change of power of attorney   |   |   |  |  |  |  |  |
| 19.              |                    | Certificate of Mailing by Expre   | ess Mail  |   |  |  |  |  |  |
| 20.              | $\boxtimes$        | Other items or information:   |   |   |  |  |  |  |  |
|                  |                    | Claim for Priority with PCT/<br>PCT/IB/308  | IB/304  |   |  |  |  |  |  |
|                  |                    | PCT/RO/101  |   |   |  |  |  |  |  |
|                  |                    |   |   |   |  |  |  |  |  |
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|  | Application  | INTERNATIONAL APPLICATION NO. PCT/JP00/04568 |                    |                | ATTORNEY'S DOCKET NUMBER L9289.01121 |                           |    |  |  |  |
|--|--|--|--------------------|----------------|--------------------------------------|---------------------------|----|--|--|--|
| 21. The fo   | ollowing fees are submitted:.  |  | CALCULATION        | S PTO USE ONLY |                                      |                           |    |  |  |  |
| ☐ Neither internation  | AL FEE (37 CFR 1.492 (a) (1) -<br>ernational preliminary examination<br>al search fee (37 CFR 1.445(a)(2)<br>tional Search Report not prepared                                       | 0.00   |                    |                |                                      |                           |    |  |  |  |
| ☐ Internation USPTO bu   | al preliminary examination fee (37 t Internation Search Report prepar  | 0.00   |                    |                |                                      |                           |    |  |  |  |
| ☐ Internation  | al preliminary examination fee (37 cional search fee (37 CFR 1.445(a)  | 0.00   |                    |                |                                      |                           |    |  |  |  |
| ☐ Internation but all claim  | al preliminary examination fee pains did not satisfy provisions of PC  | 0.00   |                    |                |                                      |                           |    |  |  |  |
| ☐ Internation and all clai   | al preliminary examination fee pai<br>ms satisfied provisions of PCT Ar  | 0.00   |                    |                |                                      |                           |    |  |  |  |
|  | ENTER APPROPRI   | \$860.00                                     |                    |                |                                      |                           |    |  |  |  |
| months from the ea   | .00 for furnishing the oath or declarilest claimed priority date (37 C   | FR 1.492 (e)).                               |                    |                |                                      | \$0.00                    |    |  |  |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXT                                   | RA                 | RATE           |                                      |                           |    |  |  |  |
| Total claims   | 11 -20=  | 0  |                    | x \$18.0       | -                                    | \$0.00                    |    |  |  |  |
| Independent claim  |  | 5  |                    | x \$80.00      |                                      | \$400.00<br>\$0.00        |    |  |  |  |
| Multiple Depende   | nt Claims (check if applicable).   | ABOVE CALC                                   | TA.TIT             |                | =                                    | \$1,260.00                |    |  |  |  |
| Reduction of 1/2 for   | or filing by small entity, if applica  |  |                    |                |                                      | \$1,200.00                |    |  |  |  |
| must also be filed   | (Note 37 CFR 1.9, 1.27, 1.28) (ch  | eck if applicable).                          | _                  |                |                                      | \$0.00                    |    |  |  |  |
|  |  | ·· - · · · · · · · · · · · · · · · · ·       | SUB                | <u>rotal</u>   | =                                    | \$1,260.00                |    |  |  |  |
| Processing fee of S<br>months from the ea  | 3130.00 for furnishing the English arliest claimed priority date (37 C   | translation later than FR 1.492 (f)).        | □ 20               | 0 🗆 30         | ) +                                  | \$0.00                    |    |  |  |  |
| in the second se |  | TOTAL NAT                                    | IONAI              | FEE            | =                                    | \$1,260.00                |    |  |  |  |
| Fee for recording t  | he enclosed assignment (37 CFR appropriate cover sheet (37 CFR   | ×  | \$40.00            |                |                                      |                           |    |  |  |  |
| Ų  |  | =  | \$1,300.00         |                |                                      |                           |    |  |  |  |
| # 15   | •  |  |                    | - 1            |                                      | Amount to be:<br>refunded | \$ |  |  |  |
| 120  |  |  |                    |                |                                      | charged                   | \$ |  |  |  |
| A check in the amount of \$1,300.00 to cover the above fees is enclosed.  Please charge my Deposit Account No. in the amount of to cover the above fees.  A duplicate copy of this sheet is enclosed.  |  |  |                    |                |                                      |                           |    |  |  |  |
|  | The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 19-4375 A duplicate copy of this sheet is enclosed. |  |                    |                |                                      |                           |    |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  |  |  |                    |                |                                      |                           |    |  |  |  |
| SEND ALL CORRESPONDENCE TO:  |  |  |                    |                |                                      |                           |    |  |  |  |
| James E. Ledbetter   |  |  |                    |                |                                      |                           |    |  |  |  |
|  | IS, MILLER & MOSHER, LLI   | SIGNATURE                                    |                    |                |                                      |                           |    |  |  |  |
| 1615 L Street, N<br>Washington, DC   |  |  | James E. Ledbetter |                |                                      |                           |    |  |  |  |
| Tel: 202/785-010   | 00   |  |                    | NAME           |                                      |                           |    |  |  |  |
| Fax: 202/408-52  | 00   | 28,732                                       |                    |                |                                      |                           |    |  |  |  |
| :  |  |  |                    | REGISTI        | RATIO                                | N NUMBER                  |    |  |  |  |
|  |  |  |                    | March 1        | 5, 20                                | 01                        |    |  |  |  |
|  |  |  |                    | DATE           | -,                                   | <br><del></del>           |    |  |  |  |
|  |  |  |                    | <del>-</del>   |                                      |                           |    |  |  |  |



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Koji IMURA

Application No.:

New PCT Application

Filed:

March 15, 2001

For:

CODING APPARATUS AND CODING METHOD OF TIME-

VARYING IMAGE SIGNAL

## CLAIM FOR PRIORITY

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

The benefit of the filing date of the following prior foreign application filed in the following foreign country is hereby requested for the above-identified application and the priority provided in 35 USC 119 is hereby claimed:

Japanese Appln. No.11-213808, Filed: July 28, 1999.

The International Bureau received the priority document within the time limit, as evidenced by the attached copy of the PCT/IB/304.

It is requested that the file of this application be marked to indicate that the requirements of 35 USC 119 have been fulfilled and that the Patent and Trademark Office kindly acknowledge receipt of this document.

Respectfully submitted,

James E. Ledbetter

Registration No. 28,732

Date: March 15, 2001

JEL/clw

Attorney Docket No. <u>L9289.01121 PCT</u>

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